



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Ted E. Dunning & Bradley D. Kindig
APPLICATION NO.: 09/846,823
FILING DATE: April 30, 2001
TITLE: Relationship Discovery Engine
EXAMINER: Unassigned
GROUP ART UNIT: 2165
ATTY. DKT. NO.: 22227-4647

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Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 5/8/02

By: 

Elaine M. Heal, Reg. No.: 44,149

COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a



foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
TED E. DUNNING
& BRADLEY D. KINDIG

Dated: May 8, 2002

By:  
Elaine M. Heal, Reg. No.: 44,149
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7113
Fax.: (650) 494-1417



Sheet 1 of 1

FORM PTO-1449
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No.

22227-04647

Serial No.

09/846,823

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Ted. E. Dunning & Bradley D. Kindig

Filing Date

April 30, 2001

Group Art Unit

2165

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	US 6,317,761 B1	11/13/01	Landsman et al.	707	513	07/13/99
	B	US 6,249,810 B1	06/19/01	Kiraly	709	217	04/27/99
	C	6,161,132	12/12/00	Roberts et al.	709	219	
	D	6,154,773	11/28/00	Roberts et al.	709	219	
	E	6,065,058	05/16/00	Hailpern et al.	709	23	

U.S. Publication DOCUMENTS

MAY 20 2002

		Patent Application Publication Number	Publication Date	Applicant	Filing Date	Application No.	
	F	US 2002/0016839 A1	02/07/02	Smith et al.	05/31/01	09/871,176	
	G	US 2002/0013852 A1	01/31/02	Janik	04/24/01	09/841,268	
	H	US 2002/0010789 A1	01/24/02	Lord	05/03/01	09/848,099	
	I	US 2002/0010621 A1	01/24/02	Bell et al.	03/13/01	09/805,678	
	J	US 2002/007418 A1	01/17/02	Hedge et al.	07/13/01	09/905,738	
	K	US 2002/0004839 A1	01/10/02	Wine et al.	05/09/01	09/851,605	
	L	US 2002/0002039 A1	01/03/02	Qureshey et al.	03/12/01	09/805,470	
	M	US 2001/0044855 A1	11/22/01	Vermeire et al.	03/28/01	09/820,176	
	N	US 2001/0042107 A1	11/15/01	Palm	01/8/01	09/755,085	
	O	US 2001/0042109 A1	11/15/01	Bolas et al.	06/16/99	09/334,846	

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Technology Center 2100**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	P	JP2001202368	07/27/01	Japan				No

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

PTO-1449

REV: 02/01

22227/04647/DOCS/1262847.1